A Model Predictive Guidance Strategy for a Multirotor Aerial Vehicle

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ABSTRACT: The present study faces the problem of safely controlling the position trajectory of a multirotor aerial vehicle subjected to a conic constraint on the total thrust vector and a linear convex constraint on the position vector. The problem is solved using a linear state-space model predictive control strategy, whose optimization is made handy by replacing the original conic constraint set on the thrust vector by an inscribed pyramidal space, which renders a linear set of inequalities. The proposed method is evaluated on the basis of Monte Carlo simulations taking into account a random disturbance force. The simulation results show the effectiveness of the method in tracking the commanded trajectory while respecting the constraints. They also predict the effect of both the speed command and the maximum allowed inclination angle on the system performance.

KEYWORDS: Multirotor aerial vehicle, Model predictive control, Position control, Guidance.

INTRODUCTION

Unmanned Aerial Vehicles (UAVs) have motivated and stimulated many researches in different fields of knowledge such as sensors fusion (Cheviron *et al.* 2007; Nemra and Aouf 2010; Gonçalves *et al.* 2013), computer vision (Saripalli *et al.* 2003; Xu *et al.* 2009; Xiao-Hong *et al.* 2012) and control strategies (Mian and Daobo 2008; Santos *et al.* 2013). A few years ago, building a low-cost miniature UAV was a challenge due to limitation imposed by equipment such as sensors, efficient motors, batteries and on-board computers. However, thanks to technological advances in actuators, small scale sensors, data processing and energy storage, the conditions improved significantly.

Among the different types of UAVs such as blimps (Elfes *et al.* 1998), fixed-wings (Beard *et al.* 2005) and rotary wings aircrafts (Bouabdallah *et al.* 2004), the present study focuses on the multirotor aerial vehicles (MAVs) (Gupte *et al.* 2012; Er *et al.* 2013). The interest for MAVs has increased in the last decade due to their low cost, high maneuverability, simplified mechanics, capability to perform vertical take-off and landing as well as hovering flight. These characteristics make them suitable for a wide range of applications, such as surveillance of indoor and urban environments, object delivery, building inspection, and agriculture monitoring. In all the aforementioned applications, a precise position tracking controller (or a guidance law) is crucial for autonomous operation.

Although there is a massive amount of concluded and ongoing research studies on MAVs, the design of control laws for such vehicles still has challenges to overcome. Most of those challenges are related to safety of the MAV itself and for people close to its operation. Mistler *et al.* (2013) and

Mahony *et al.* (2012) propose linear control laws combined with the feedback linearization technique for guiding a quadrotor through a reference trajectory. Bouabdallah and Siegwart (2005) designed 2 control laws using, respectively, the sliding mode and the backstepping methods; the authors showed by simulations that the backstepping method outperforms the sliding mode controller. Madani and Benallegue (2007) propose position control scheme combining a backstepping controller with a sliding mode observer. Castillo *et al.* (2014) and Hua *et al.* (2009) present robust control law design methods considering that the system is subjected to external disturbance and model uncertainty. It is worth mentioning that none of the aforementioned methods have considered constraints on the control force.

In fact, few MAV control methods available in the literature have dealt with constraints issues (Castillo *et al.* 2005; Cunha *et al.* 2009). In Castillo *et al.* (2005), the authors divided the system into smaller subsystems with 2 degrees of freedom (DOF). For each subsystem, they applied a nested saturated controller (Teel 1992) to achieve global stability while respecting a maximum constraint on the total thrust magnitude. However, the subsystems were assumed to have uncoupled dynamics, which is not true in general. In Cunha *et al.* (2009), to avoid an unbounded growth of the actuation commands, the authors presented an asymptotically stable controller that limits the maximum thrust magnitude by saturating the position control error. These 2 studies only considered constraints on the maximum value of the magnitude of the total thrust vector.

More recently, Santos *et al.* (2013) and Yan *et al.* (2014) tackled the problem of controlling the position of an MAV under constraints both on the inclination of the rotor plane and on the magnitude of the total thrust. Santos *et al.* (2013) presented a simple but effective control method derived using feedback linearization and a proportional-derivative control law. Yan *et al.* (2014) solved the same problem by using the retrospective cost adaptive control (RCAC) strategy.

The model predictive control (MPC) strategy appears as the most interesting choice whenever constraints are a concern. In this method, a future control sequence is obtained by minimizing a cost function on predicted values of the controlled variable along a finite horizon, typically subjected to constraints (Camacho and Bordons 1998). Applications of MPC to position control of MAVs can be found in Raffo *et al.* (2010), Lopes *et al.* (2011), Alexis *et al.* (2012), and Chen *et al.* (2013). Raffo *et al.* (2010) proposed a control scheme consisting of an unconstrained MPC for position tracking and a non-linear H_∞ controller for attitude stabilization under aerodynamic disturbances and parametric as well as structural uncertainties. Lopes *et al.* (2011) designed a single MPC controller for both position control and attitude stabilization, considering constraints on both the pitch and the roll angles. Alexis *et al.* (2012) proposed a cascade MPC scheme, formulated over a set of piecewise affine models originated from both attitude and translation dynamics. In order to guide an MAV through a desired position trajectory, Chen *et al.* (2013) designed 2 separate MPCs, one for position control and the other for attitude control, the latter considering maximum constraints on the attitude angles.

In order to ensure a safe flight, it is essential to design a control law which avoids excessive accelerations and unexpected flips. The present study proposes an MPC for position control (guidance) of an MAV, constraining the total thrust vector within a conic set as well as the position vector within a parallelepiped set.

PROBLEM STATEMENT
PRELIMINARY DEFINITIONS

The motion of an MAV has 6 DOFs: 3 in translation and other 3 in rotation. However, this vehicle has only 4 independent control inputs: 3 torque components and the magnitude of the total thrust. Therefore, an MAV has an underactuated dynamics. At a first glance, it could be seem a challenge to deal with this characteristic. However, in practice, one needs to independently control only 4 DOF: the 3-dimensional position and the heading angle.

The block diagram of Fig. 1 describes a control system for controlling the 3-dimensional position $\mathbf{r} \in \mathbb{R}^3$ of an MAV to follow a time-varying position command $\mathbf{r}_c \in \mathbb{R}^3$. This
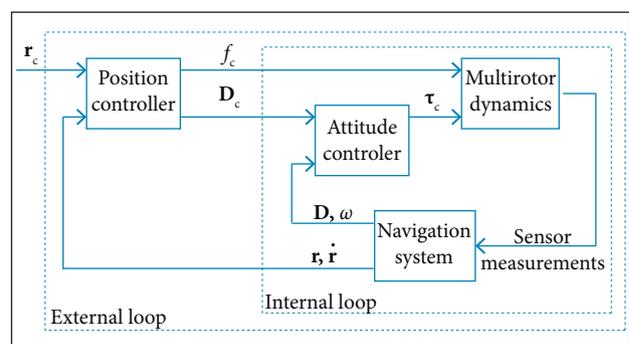

Figure 1. A typical structure of an MAV flight control system.

system is structured into 2 control loops: an internal loop for attitude control and an external one for position control. The Navigation System is generally responsible for estimating the vehicle's attitude $\mathbf{D} \in SO(3)$, angular velocity $\omega \in \mathbb{R}^3$, position $\mathbf{r}$, and linear velocity $\dot{\mathbf{r}} \in \mathbb{R}^3$. The Attitude Controller receives an attitude command $\mathbf{D}_c \in SO(3)$ and produces the control torque $\tau_c \in \mathbb{R}^3$ necessary to rotate the rotor plane with respect to the local horizontal plane. The Position Controller has the function of computing a command $f_c \in \mathbb{R}^3$ for the total thrust magnitude and a command $\mathbf{D}_c \in SO(3)$ for the attitude. These commands are computed in such a way to produce suitable lateral acceleration on the vehicle. The present study is concerned with the design of a position controller.

POSITION CONTROL PROBLEM

Consider the MAV and the 3 Cartesian coordinate systems (CCS) illustrated in Fig. 2. Assume that the vehicle has a rigid structure. The body CCS $S_B \triangleq \{X_B, Y_B, Z_B\}$ is fixed to the structure and its origin coincides with the vehicle's center of mass (CM). The reference CCS $S_R \triangleq \{X_R, Y_R, Z_R\}$ is Earth-fixed and its origin is at a known point O. Finally, a second reference CCS $S_{R'} \triangleq \{X_{R'}, Y_{R'}, Z_{R'}\}$ is defined to be parallel to S_R, but with origin at CM. Assume that S_R is an inertial frame.
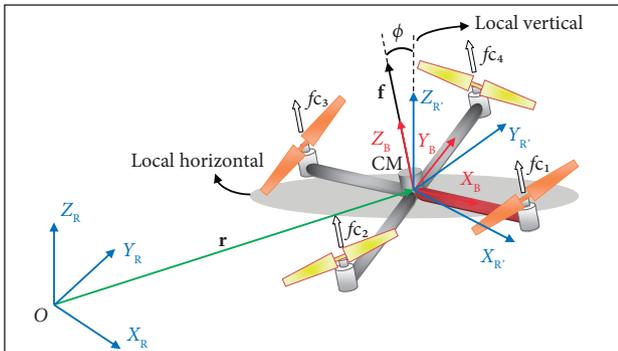
Figure 2. A multirotor aerial vehicle and 3 Cartesian coordinate systems.

Invoking the second Newton's law, the translational dynamics of the MAV illustrated in Fig. 2 can be immediately described in S_R by the following second-order differential equation:

$$\ddot{\mathbf{r}} = \frac{1}{m}(\mathbf{f} + \mathbf{f}_d) + \begin{bmatrix} 0 \\ 0 \\ -g \end{bmatrix}, \tag{1}$$

where: $\mathbf{r} \triangleq [r_x, r_y, r_z]^T \in \mathbb{R}^3$ is the CM position vector

with respect to S_R; $\mathbf{f} \triangleq [f_x, f_y, f_z]^T \in \mathbb{R}^3$ is the total thrust vector represented in S_R; $\mathbf{f}_d \in \mathbb{R}^3$ is the disturbance force vector represented in S_R, m is the vehicle's mass; g is the gravitational acceleration.

If, instead of a quadrotor, we had considered a hexa-rotor or an octo-rotor, the model in Eq. 1 would not change in any aspects, except for the origin of $\mathbf{f}$ that would receive contributions of either 6 or 8, instead of 4 propellers. As illustrated in Fig. 2, $\mathbf{f}$ is perpendicular to the rotor plane.

Define the inclination angle $\phi \in \mathbb{R}$ of the rotor plane as the angle between Z_B and $Z_{R'}$. It can be expressed by

$$\phi \triangleq \cos^{-1} \frac{f_z}{f_c}, \tag{2}$$

where: $f_c \triangleq \|\mathbf{f}\|$.

Define the position control error $\tilde{\mathbf{r}} \in \mathbb{R}^3$ as

$$\tilde{\mathbf{r}} \triangleq \mathbf{r} - \mathbf{r}_c, \tag{3}$$

where: $\mathbf{r}_c \triangleq [r_{c,x} \ r_{c,y} \ r_{c,z}]^T$ is a position command.

Problem 1: $\phi_{max} \in \mathbb{R}$ denote the maximum allowable value of ϕ, $f_{min} \in \mathbb{R}$ and $f_{max} \in \mathbb{R}$ denote, respectively, the minimum and maximum allowable values of f_c, and $\mathbf{r}_{min} \in \mathbb{R}^3$ and $\mathbf{r}_{max} \in \mathbb{R}^3$ denote, respectively, the minimum and maximum allowable values of $\mathbf{r}$. The MAV guidance problem is to find a control law for $\mathbf{f}$ that minimizes $\tilde{\mathbf{r}}$ subjected to the inclination constraint $\phi \leq \phi_{max}$, to the force magnitude constraint $f_{min} \leq f_c \leq f_{max}$, and to the position constraint $\mathbf{r}_{min} \leq \mathbf{r} \leq \mathbf{r}_{max}$.

Remark 1: the control force $\mathbf{f}$ of Problem 1 is not the effective control force undergone by the vehicle. In fact, its magnitude f_c represents a command for the power electronics to drive the motors, while the inclination angle ϕ is used to compute the attitude command $\mathbf{D}_c$ for the attitude control loop to orient the rotor plane (Fig. 1). Nevertheless, f_c and $\mathbf{D}_c$ are assumed here to be identical to the respective actual variables. The assumption about f_c is reasonable if a precise model for the thrust force is available. On the other hand, the assumption about $\mathbf{D}_c$ can also be approximated in practice if the controllers are tuned to allow the internal loop to have a much faster dynamics than the external one.
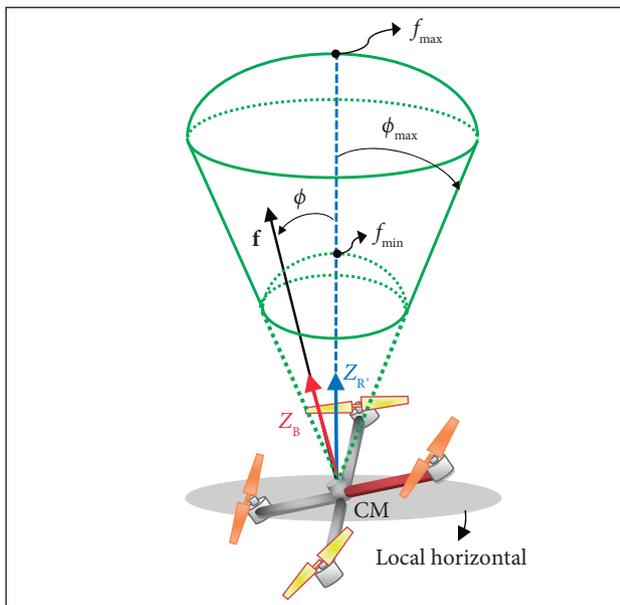
Remark 2: during the design of the controller, disturbance force and model uncertainty will not be considered. However, in "Computational Simulations" section, the proposed control method will be evaluated under such non-ideal conditions.

Remark 3: the position $\mathbf{r}$ and the velocity $\dot{\mathbf{r}}$ of CM are assumed to be available for feedback. In practice, these variables are provided by a navigation system (Fig. 1), which is not the focus of the present study.
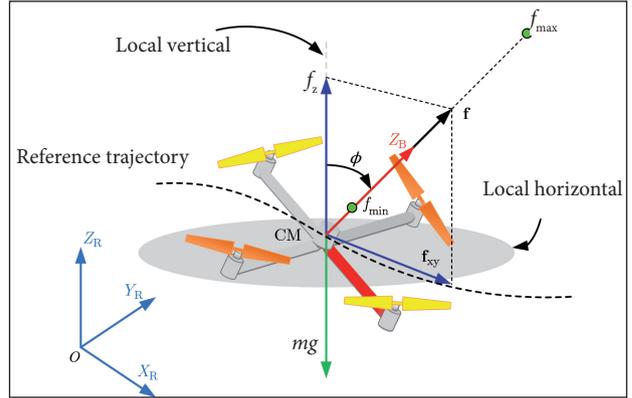
In Problem 1, the parallelepiped constraint imposed on the vehicle's position $\mathbf{r}$ is considered so as to avoid collisions with the bounds of a box-shaped indoor environment.

On the other hand, one can visualize the corresponding constraint space on $\mathbf{f}$ as a conic space with an inferior and a superior spherical lid, as illustrated in Fig. 3. Note that the so-generated constraint space is non-linear and non-convex. The constraints on both magnitude and inclination of $\mathbf{f}$ are directly connected to the vertical and lateral accelerations of the vehicle. As one can see in Fig. 4, the component f_z is responsible for controlling the altitude of the vehicle, while $\mathbf{f}_{xy}$ produces the lateral acceleration that guides it along the X_R and Y_R directions, where $\mathbf{f}_{xy} \overset{\Delta}{=} [f_x \; f_y] \in \mathbb{R}^2$ denotes the horizontal projection of $\mathbf{f}$. As ϕ increases, the lateral acceleration of the vehicle also increases. If the constraint f_{max} is not sufficiently high, the vehicle could suffer a loss of lift. Furthermore, it is interesting to choose a ϕ_{max} that avoids unexpected flips as well as large lateral accelerations.

The choice of suitable values for the constraints on $\mathbf{f}$ and $\mathbf{r}$ can enhance the flight safety, since it avoids abrupt motion and delimits the flight region, respectively. Such characteristics are quite desirable, for example, in MAVs used to support people in indoor and urban environments.

Figure 4. Analysis of $\mathbf{f}$ with respect to its constraints on inclination and magnitude.

PROBLEM SOLUTION
STATE-SPACE MODEL FOR TRANSLATION

Define the state vector $\mathbf{x} \overset{\Delta}{=} [r_x \; \dot{r}_x \; r_y \; \dot{r}_y \; r_z \; \dot{r}_z]^T \in \mathbb{R}^6$ and the control input vector $\mathbf{u} \overset{\Delta}{=} [u_x \; u_y \; u_z]^T \in \mathbb{R}^3$,

$$\mathbf{u} \overset{\Delta}{=} \frac{1}{m}\mathbf{f} - \begin{bmatrix} 0 \\ 0 \\ g \end{bmatrix}. \tag{4}$$

Using Eq. 4, Eq. 1 can be immediately rewritten as a continuous-time linear state equation of the form $\dot{\mathbf{x}} = \mathbf{A}\mathbf{x} + \mathbf{B}\mathbf{u}$. Similarly, by defining the controlled output vector to be the MAV position $\mathbf{y} \overset{\Delta}{=} [r_x \; r_y \; r_z]^T \in \mathbb{R}^3$, one can obtain a continuous-time output equation of the form $\mathbf{y} = \mathbf{C}\mathbf{x}$. Let $\mathbf{x}(k) \in \mathbb{R}^6$, $\mathbf{u}(k) \in \mathbb{R}^3$ and $\mathbf{y}(k) \in \mathbb{R}^3$ denote, respectively, the state vector, the control input vector and the controlled output vector, all in the discrete-time domain. Using the ZOH method with a sampling time of $T_s = 20$ ms, a discrete-time version of the above state-space model is obtained as

$$\mathbf{x}(k+1) = \mathbf{A}_d\mathbf{x}(k) + \mathbf{B}_d\mathbf{u}(k), \tag{5}$$

$$\mathbf{y}(k) = \mathbf{C}_d\mathbf{x}(k), \tag{6}$$

where

$$\mathbf{A}_d = \begin{bmatrix} 1 & 0.02 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0.02 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0.02 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix} \in \mathbb{R}^{6 \times 6}, \tag{7}$$

Figure 3. The original conic control space.

$$\mathbf{B}_{\text{d}} = \begin{bmatrix} 2 \times 10^{-4} & 0 & 0 \\ 0.02 & 0 & 0 \\ 0 & 2 \times 10^{-4} & 0 \\ 0 & 0.02 & 0 \\ 0 & 0 & 2 \times 10^{-4} \\ 0 & 0 & 0.02 \end{bmatrix} \in \mathbb{R}^{6 \times 3}, \tag{8}$$

$$\mathbf{C}_{\text{d}} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix} \in \mathbb{R}^{3 \times 6}. \tag{9}$$

Consider the discrete-time state-space model of Eqs. 5 and 6. It can be rewritten in the incremental-input form as (Maciejowski 2002)

$$\begin{aligned} \boldsymbol{\xi}(k+1) &= \tilde{\mathbf{A}}\boldsymbol{\xi}(k) + \tilde{\mathbf{B}}\Delta\mathbf{u}(k) \\ \mathbf{y}(k) &= \tilde{\mathbf{C}}\boldsymbol{\xi}(k) \end{aligned}, \tag{10}$$

with

$$\boldsymbol{\xi}(k) = \begin{bmatrix} \Delta\mathbf{x}(k) \\ \mathbf{y}(k) \end{bmatrix} \in \mathbb{R}^{9}, \tag{11}$$

$$\tilde{\mathbf{A}} = \begin{bmatrix} \mathbf{A}_{\text{d}} & \mathbf{0}_{6 \times 3} \\ \mathbf{C}_{\text{d}}\mathbf{A}_{\text{d}} & \mathbf{I}_{3} \end{bmatrix} \in \mathbb{R}^{9 \times 9}, \tag{12}$$

$$\tilde{\mathbf{B}} = \begin{bmatrix} \mathbf{B}_{\text{d}} \\ \mathbf{C}_{\text{d}}\mathbf{B}_{\text{d}} \end{bmatrix} \in \mathbb{R}^{9 \times 3}, \tag{13}$$

$$\tilde{\mathbf{C}} = \begin{bmatrix} \mathbf{0}_{3 \times 6} & \mathbf{I}_{3} \end{bmatrix} \in \mathbb{R}^{3 \times 9}, \tag{14}$$

where: $\Delta\mathbf{x}(k) \overset{\Delta}{=} \mathbf{x}(k) - \mathbf{x}(k-1) \in \mathbb{R}^{6}$ denotes the incremental state vector; $\Delta\mathbf{u}(k) \overset{\Delta}{=} \mathbf{u}(k) - \mathbf{u}(k-1) \in \mathbb{R}^{3}$ is the incremental control input vector; $\mathbf{I}_{3}$ is the identity matrix with dimensions 3×3; $\mathbf{0}_{3 \times 6}$ is a zero matrix with dimensions 3×6.

PREDICTION MODEL

Using Eq. 10, the prediction model can be obtained as (see Maciejowski 2002, p. 50)

$$\mathcal{Y}_{N} = \mathcal{G}\Delta\mathcal{U}_{M} + \mathcal{F}, \tag{15}$$

where: $\gamma_{\text{N}} \in \mathbb{R}^{3N \times 1}$ stacks the controlled outputs along

a prediction horizon of length N; $\Delta u_{\text{M}} \in \mathbb{R}^{3M \times 1}$ stacks the incremental control inputs along a control horizon of length M,

$$\mathcal{G} \triangleq \begin{bmatrix} \tilde{\mathbf{C}}\tilde{\mathbf{B}} & \mathbf{0}_{3 \times 3} & \cdots & \mathbf{0}_{3 \times 3} \\ \tilde{\mathbf{C}}\tilde{\mathbf{A}}\tilde{\mathbf{B}} & \tilde{\mathbf{C}}\tilde{\mathbf{B}} & \cdots & \mathbf{0}_{3 \times 3} \\ \vdots & \vdots & \ddots & \vdots \\ \tilde{\mathbf{C}}\tilde{\mathbf{A}}^{M-1}\tilde{\mathbf{B}} & \tilde{\mathbf{C}}\tilde{\mathbf{A}}^{M-2}\tilde{\mathbf{B}} & \cdots & \tilde{\mathbf{C}}\tilde{\mathbf{B}} \\ \vdots & \vdots & & \vdots \\ \tilde{\mathbf{C}}\tilde{\mathbf{A}}^{N-1}\tilde{\mathbf{B}} & \tilde{\mathbf{C}}\tilde{\mathbf{A}}^{N-2}\tilde{\mathbf{B}} & \cdots & \tilde{\mathbf{C}}\tilde{\mathbf{A}}^{N-M}\tilde{\mathbf{B}} \end{bmatrix} \in \mathbb{R}^{3N \times 3M} \tag{16}$$

and

$$\mathcal{F} \triangleq \begin{bmatrix} \tilde{\mathbf{C}}\tilde{\mathbf{A}} \\ \tilde{\mathbf{C}}\tilde{\mathbf{A}}^{2} \\ \vdots \\ \tilde{\mathbf{C}}\tilde{\mathbf{A}}^{N} \end{bmatrix} \boldsymbol{\xi}(k) \in \mathbb{R}^{3N \times 1}. \tag{17}$$

THRUST VECTOR CONSTRAINTS

Using Eq. 4, the thrust magnitude constraint inequation $f_{\min} \leq f_{\text{c}} \leq f_{\max}$ can be rewritten in terms of $\mathbf{u}$ as

$$f_{\min} \leq m\sqrt{u_{\text{x}}^{2} + u_{\text{y}}^{2} + (u_{\text{z}} + g)^{2}} \leq f_{\max}. \tag{18}$$

Assuming that $0 \leq \phi_{\max} < \pi/2$ rad, the inclination constraint $\phi \leq \phi_{\max}$ established in Problem 1 can be replaced by $\cos \phi \geq \cos \phi_{\max}$. Using Eqs. 2 and 4, the last inequation can be rewritten in terms of the components of $\mathbf{u}$ as

$$\frac{u_{\text{z}} + g}{\sqrt{u_{\text{x}}^{2} + u_{\text{y}}^{2} + (u_{\text{z}} + g)^{2}}} \geq \cos \phi_{\max}. \tag{19}$$

In order to obtain linear approximations for Eqs. 18 and 19, consider the rectangular pyramid inscribed in the original conic control space depicted in Fig. 3. The new control space is illustrated in Fig. 5a. By inspection of this figure, the new constraint on f_{z} can be expressed as

$$f_{\min} \leq f_{\text{z}} \leq f_{\max} \cos \phi_{\max}. \tag{20}$$

Consider an arbitrary section of the pyramid depicted in Fig. 5a. Let f_{z} denote its Z_{R} coordinate. The projection of this section on the $X_{\text{R}} - Y_{\text{R}}$ plane consists of a square of dimensions

$\alpha \times \alpha$, as illustrated in Fig. 5b. From the geometry of this figure, one can write

$$\alpha = \sqrt{2} f_z \tan \phi_{\max}. \tag{21}$$

By inspection of Fig. 5b, it can be seen that the implication of **f** to be bounded inside the pyramidal space is that $f_x \in [-\alpha/2, \alpha/2]$ and $f_y \in [-\alpha/2, \alpha/2]$. By substituting Eq. 21 into these intervals, one can obtain

$$-\frac{\sqrt{2}}{2} f_z \tan \phi_{\max} \leq f_x \leq \frac{\sqrt{2}}{2} f_z \tan \phi_{\max} \tag{22}$$

$$-\frac{\sqrt{2}}{2} f_z \tan \phi_{\max} \leq f_y \leq \frac{\sqrt{2}}{2} f_z \tan \phi_{\max}. \tag{23}$$

Rewriting Eqs. 20, 22 and 23 in matrix form, one yields

$$\mathbf{\Lambda} \, \mathbf{f} \leq \boldsymbol{\lambda}, \tag{24}$$

where

$$\mathbf{\Lambda} \triangleq \begin{bmatrix} -1 & 0 & -\frac{\sqrt{2}}{2} \tan \phi_{\max} \\ 1 & 0 & -\frac{\sqrt{2}}{2} \tan \phi_{\max} \\ 0 & -1 & -\frac{\sqrt{2}}{2} \tan \phi_{\max} \\ 0 & 1 & -\frac{\sqrt{2}}{2} \tan \phi_{\max} \\ 0 & 0 & -1 \\ 0 & 0 & 1 \end{bmatrix} \tag{25}$$

and

$$\boldsymbol{\lambda} \triangleq \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ -f_{\min} \\ f_{\max} \cos \phi_{\max} \end{bmatrix}. \tag{26}$$

Now using Eq. 4, Eq. 24 can be rewritten in terms of **u** as

$$\bar{\mathbf{\Lambda}} \mathbf{u} \leq \bar{\boldsymbol{\lambda}}, \tag{27}$$

where $\bar{\mathbf{\Lambda}} \overset{\Delta}{=} m\mathbf{\Lambda}$ and

$$\bar{\boldsymbol{\lambda}} \triangleq \boldsymbol{\lambda} - m\mathbf{\Lambda} \begin{bmatrix} 0 \\ 0 \\ g \end{bmatrix}. \tag{28}$$

Finally, replacing $\mathbf{u}(k) = \Delta\mathbf{u}(k) + \mathbf{u}(k-1)$ into Eq. 27 and taking it at M future instants starting from k, the thrust vector constraint is obtained as

$$\mathcal{T}\Delta\mathcal{U}_M \leq \varrho, \tag{29}$$

where $\mathcal{T} = [\bar{\lambda}]_M - \text{diag}(\bar{\Lambda})[\mathbf{u}(k-1)]_M$ and

$$\mathcal{T} = \begin{bmatrix} \bar{\mathbf{\Lambda}} & \mathbf{0}_{6\times3} & \cdots & \mathbf{0}_{6\times3} \\ \bar{\mathbf{\Lambda}} & \bar{\mathbf{\Lambda}} & \cdots & \mathbf{0}_{6\times3} \\ \vdots & \vdots & \ddots & \vdots \\ \bar{\mathbf{\Lambda}} & \bar{\mathbf{\Lambda}} & \cdots & \bar{\mathbf{\Lambda}} \end{bmatrix} \in \mathbb{R}^{6M\times3M}. \tag{30}$$

The matrix $\mathcal{T}$ is lower block-triangular, $[\bullet]_M$ is an operator that stacks M copies of a column vector in an augmented vector, and $\mathbf{u}(k-1) \in \mathbb{R}^3$ is the control input at instant $k-1$.
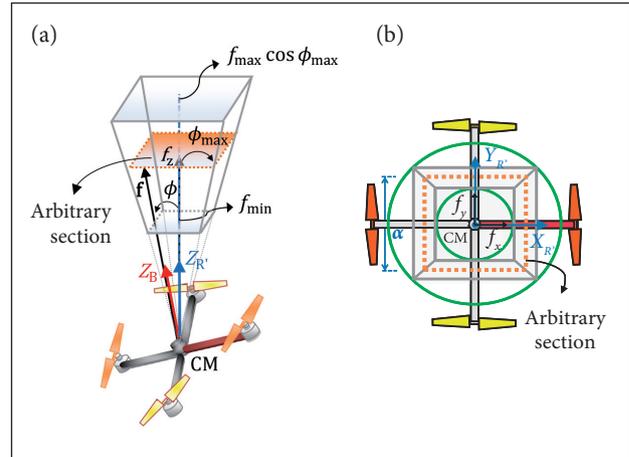

Figure 5. Pyramidal control space.

POSITION VECTOR CONSTRAINTS

Now consider the constraints on the MAV position $\mathbf{r}_{\min} \leq \mathbf{r} \leq \mathbf{r}_{\max}$. Taking them along the prediction horizon N, an augmented set of constraints is obtained as

$$[\mathbf{r}_{\min}]_N \leq \mathcal{Y}_N \leq [\mathbf{r}_{\max}]_N, \tag{31}$$

which can be rewritten in terms of Δu_M by means of Eq. 15, resulting in

$$\mathcal{A}\Delta\mathcal{U}_M \leq \gamma, \tag{32}$$

where

$$\mathcal{A} \triangleq \begin{bmatrix} \mathcal{G} \\ -\mathcal{G} \end{bmatrix} \in \mathbb{R}^{6N \times 3M} \tag{33}$$

and

$$\gamma \triangleq \begin{bmatrix} [\mathbf{r}_{\max}]_N - \mathcal{F} \\ \mathcal{F} - [\mathbf{r}_{\min}]_N \end{bmatrix} \in \mathbb{R}^{6N}. \tag{34}$$

MODEL PREDICTIVE CONTROLLER

The optimal control vector $\mathbf{u}^\star(k) \in \mathbb{R}^3$ computed at the discrete-time instant k is given by $\mathbf{u}^\star(k) = \Delta\mathbf{u}^\star(k) + \mathbf{u}^\star(k-1)$, where $\Delta\mathbf{u}^\star(k) \in \mathbb{R}^3$ is the first control vector in $\Delta u^\star_M$, which, in turn, is obtained by minimizing the following quadratic cost function:

$$J(\Delta\mathcal{U}_M) = \frac{1}{2}\Delta\mathcal{U}_M^{\mathrm{T}}\mathcal{H}\Delta\mathcal{U}_M + \mathcal{M}^{\mathrm{T}}\Delta\mathcal{U}_M + c, \tag{35}$$

subjected to

$$\mathcal{S}\Delta\mathcal{U}_M \leq \vartheta, \tag{36}$$

where: $1/2H = \mathcal{G}^{\mathrm{T}}Q\mathcal{G} + R$, $M^{\mathrm{T}} = 2(\mathcal{F} - [r_c]_N)^{\mathrm{T}}Q\mathcal{G}$, $c = (\mathcal{F} - [r_c]_N)^{\mathrm{T}}Q(\mathcal{F} - [r_c]_N)$,

$$\mathcal{S} \triangleq \begin{bmatrix} \mathcal{T} \\ \mathcal{A} \end{bmatrix} \in \mathbb{R}^{(6M+6N) \times 3M}, \tag{37}$$

and

$$\vartheta \triangleq \begin{bmatrix} \varrho \\ \gamma \end{bmatrix} \in \mathbb{R}^{(6M+6N)}. \tag{38}$$

In this study, the controlled output weighting matrix is set to $Q = \eta \times \mathbf{I}_{3N}$ and the control input weighting matrix is set to $R = \rho \times \mathbf{I}_{3M}$. The above optimization problem is in the conventional quadratic programming form, for which there are efficient numerical solution methods (Rossiter 2003). The Δ-input MPC formulation presented here has an intrinsic integral action, which allows to track a constant set point with 0 steady-state error and reject a constant disturbance input (Maciejowski 2002).

THRUST MAGNITUDE AND ATTITUDE COMMANDS

Now we need to compute the total thrust magnitude command f_c and the attitude command $\mathbf{D}_c$ from the control input $\mathbf{u}(k)$ provided by the MPC. The first command is obtained by simply taking the Euclidian norm of $\mathbf{f}$. Using Eq. 4, f_c is obtained as

$$f_c = m\sqrt{u_{\mathrm{x}}^2 + u_{\mathrm{y}}^2 + (u_{\mathrm{z}} + g)^2}. \tag{39}$$

The attitude command $\mathbf{D}_c \in \mathrm{SO}(3)$ for the internal (attitude) control loop (Fig. 1) is also computed from $\mathbf{f}$, which contains information about the orientation of the rotor plane with respect to the local horizontal. In order to provide a unique 3-dimensional attitude command, it is necessary to specify a heading angle. For example, one can choose a 0 heading angle, which is just equivalent to the attitude represented by the principal Euler angle/axis $(\phi; \mathbf{e})$, where ϕ is computed from Eq. 2 and $\mathbf{e}$ is a unit vector given by

$$\mathbf{e} = \frac{\mathbf{f} \times \mathbf{f}_{\mathrm{xy}}}{\|\mathbf{f} \times \mathbf{f}_{\mathrm{xy}}\|}, \tag{40}$$

where: $\mathbf{f}_{\mathrm{xy}}$ is the projection of $\mathbf{f}$ on the $X_{\mathrm{R'}} - Y_{\mathrm{R'}}$ plane.

The corresponding attitude command is given by (Shuster 1993)

$$\mathbf{D}(\phi; \mathbf{e}) = \cos\phi\,\mathbf{I}_3 + (1 - \cos\phi)\mathbf{e}\mathbf{e}^{\mathrm{T}} - \sin\phi[\mathbf{e}\times]. \tag{41}$$

Now considering an arbitrary heading angle ψ, the attitude command $\mathbf{D}_c$ results from 2 successive rotations. The first one is that represented by Eq. 41, while the second one is an elementary rotation $\mathbf{D}(\psi; Z_{\mathrm{B}})$ of an angle ψ about the Z_{B} axis, *i.e.*

$$\mathbf{D}_c = \mathbf{D}(\psi; Z_{\mathrm{B}})\mathbf{D}(\phi; \mathbf{e}), \tag{42}$$

where

$$\mathbf{D}(\psi, Z_{\mathrm{B}}) = \begin{bmatrix} \cos\psi & \sin\psi & 0 \\ -\sin\psi & \cos\psi & 0 \\ 0 & 0 & 1 \end{bmatrix}. \tag{43}$$

COMPUTATIONAL SIMULATIONS
SIMULATION PARAMETERS

The simulations are implemented in MATLAB/Simulink. The non-linear 6 DOF dynamics of an MAV is integrated using the Runge-Kutta-4 method with an integration step of 0.001 s. The vehicle's attitude is modeled using Euler angles in the rotation sequence 1-2-3. The vehicle's mass is $m = 1$ kg and the gravitational acceleration is assumed to be $g = 9.81$ m/s^2. The vehicle's inertia matrix is

$$\mathbf{J} = \begin{bmatrix} 0.0171 & 0 & 0 \\ 0 & 0.0171 & 0 \\ 0 & 0 & 0.0286 \end{bmatrix} \mathrm{kgm}^2. \qquad (44)$$

The interior-point method is adopted to solve the MPC optimization. The control input weighting matrix Q and controlled output weighting matrix R are adjusted with $\rho = 0.01$ and $\eta = 1$, respectively. The prediction and control horizons are set to $N = 80$ and $M = 5$, respectively. The maximum and minimum position bounds are $\mathbf{r}_{max} = [6\ 6\ 3]^T$ m and $\mathbf{r}_{min} = [0\ 0\ 0]^T$, respectively. The maximum and minimum constraints on the force magnitude are set in $f_{max} = 20$ N and $f_{min} = 2$ N, respectively. A non-zero minimum bound on the force magnitude f_{min} was chosen in order to avoid loss of attitude control. On the other hand, the maximum bound f_{max} is set sufficiently large to allow lateral accelerations without loss of lift. In order to verify the system robustness against an unknown input, a 0-mean Gaussian disturbance force with covariance $\mathbf{Q}_d = 0.3 \times \mathbf{I}_3$ N^2 is taken into account.

The position commands consist of a sequence of line segments from the initial position $\mathbf{r}_i = [1\ 1\ 0]^T$ m to the final one $\mathbf{r}_f = [5\ 5\ 1]^T$ m passing by the waypoints $\mathbf{w}_1 = [1\ 1\ 1]^T$ m; $\mathbf{w}_2 = [2\ 2\ 2]^T$ m; $\mathbf{w}_3 = [4\ 2\ 2]^T$ m; $\mathbf{w}_4 = [5\ 3\ 2]^T$ m and $\mathbf{w}_5 = [5\ 5\ 2]^T$ m. A total of 15 MC simulations with 300 realizations are carried out considering all the combinations of 3 different speed command v values (0.5; 1.0 and 2.0 m/s) and 5 different maximum inclination ϕ_{max} values (10°; 15°; 20°; 25° and 30°).

The following figure of merit is used to evaluate the position control error:

$$e_q \triangleq \frac{1}{N_r \sqrt{k_f}} \sum_{i=1}^{N_r} \sqrt{\sum_{k=1}^{k_f} \left(r_{d,q}(k) - r_q^{(i)}(k) \right)^2}, \qquad (45)$$

for q equal to x, y or z; $r_q^{(i)}(k)$ denotes the ith realization of $r_q(k)$.

For evaluating the frequency of constraint violation, the following figure of merit is adopted:

$$I_l \triangleq \frac{1}{N_r k_f} \sum_{i=1}^{N_r} M_l^{(i)}, \qquad (46)$$

where: $M_l^{(i)}$ is the number of discrete-time instants (of the ith realization) in which constraint l is violated, for l equal to x, y, z, ϕ, f_{min} or f_{max}.

The attitude controllers chosen for the present simulation are uncoupled proportional-derivative control laws tuned so as to make the attitude dynamics have a bandwidth significantly larger than the bandwidth of the position control dynamics.

SIMULATION RESULTS

The Monte Carlo simulation results are summarized in Table 1 in terms of e_q and I_l. First, one can observe that the control error increases as the speed command v is increased or as the maximum inclination ϕ_{max} is decreased. For example, for $v = 0.5$ m/s, the position errors stay below 5 cm, whereas they approach 25 cm when the speed is set to $v = 2.0$ m/s. Regarding the violation of position constraints, no occurrence is observed with any of the 3 speed commands. Concerning the maximum inclination constraint ϕ_{max}, for all speed commands v, the number of violations reduces as ϕ_{max} is increased. Finally, the frequency of violations of f_{min} and f_{max} increases as the speed command is increased, but decreases as ϕ_{max} is increased.

Figure 6 shows the MC realizations of the MAV position together with the corresponding mean and standard deviation curves for $v = 1.0$ m/s and different values of ϕ_{max} (10°; 20°; 30°). One can see that the standard deviation decreases as ϕ_{max} increases. This behavior is due to the fact that a smaller value of ϕ_{max} results in a smaller horizontal projection $\mathbf{f}_{xy}$ of the total thrust on the horizontal plane, which, in turn, reduces the vehicle's maneuverability and capability to reject horizontal disturbance forces. On the contrary, as ϕ_{max} increases, $\mathbf{f}_{xy}$ becomes larger, improving the maneuverability, which, in turn, provides a better disturbance rejection.

On the other hand, Fig. 7 shows the MC realizations of the MAV position together with the corresponding mean and standard deviation curves for $\phi_{max} = 15°$ and different values of v (0.5; 1.0 and 2.0 m/s).

One can see that, as the speed command increases, the standard deviation also becomes larger. The main reason is the fact that larger speed commands require better maneuverability and larger horizontal acceleration to ensure that the vehicle follows the reference trajectory with acceptable performance.

The worst performance observed in Table 1 occurs with $\phi_{max} = 10°$ and $v = 2.0$ m/s. This scenario combines low maneuverability (due to a small ϕ_{max}) with a large speed command, which results in a large amount of control input constraint violations (Fig. 8). With a small ϕ_{max}, the vehicle does not reach sufficient lateral acceleration to follow a large speed command and simultaneously reject the disturbance force. It causes a large position error (Fig. 9).

**Table 1.** Monte Carlo simulation results for different values of v and ϕ_{max}.

v (m/s)	ϕ_{max} (deg)	e_x (m)	e_y (m)	e_z (m)	I_x (%)	I_y (%)	I_z (%)	I_ϕ (%)	I_{fmax} (%)	I_{fmin} (%)
	10	0.028	0.037	0.021	0.00	0.00	0.00	0.58	0.00	0.00
	15	0.010	0.014	0.014	0.00	0.00	0.00	0.00	0.00	0.00
0.5	20	0.009	0.013	0.014	0.00	0.00	0.00	0.00	0.00	0.00
	25	0.009	0.012	0.013	0.00	0.00	0.00	0.00	0.00	0.00
	30	0.009	0.012	0.013	0.00	0.00	0.00	0.00	0.00	0.00
	10	0.057	0.071	0.060	0.00	0.00	0.00	1.54	0.00	0.00
	15	0.021	0.032	0.039	0.00	0.00	0.00	0.00	0.00	0.00
1.0	20	0.021	0.031	0.039	0.00	0.00	0.00	0.00	0.00	0.00
	25	0.020	0.030	0.039	0.00	0.00	0.00	0.00	0.00	0.00
	30	0.020	0.030	0.039	0.00	0.00	0.00	0.00	0.00	0.00
	10	0.211	0.258	0.216	0.00	0.00	0.00	15.6	0.37	0.36
	15	0.052	0.109	0.097	0.00	0.00	0.00	3.38	0.00	0.00
2.0	20	0.042	0.097	0.095	0.00	0.00	0.00	0.00	0.00	0.00
	25	0.042	0.093	0.093	0.00	0.00	0.00	0.00	0.00	0.00
	30	0.042	0.088	0.092	0.00	0.00	0.00	0.00	0.00	0.00
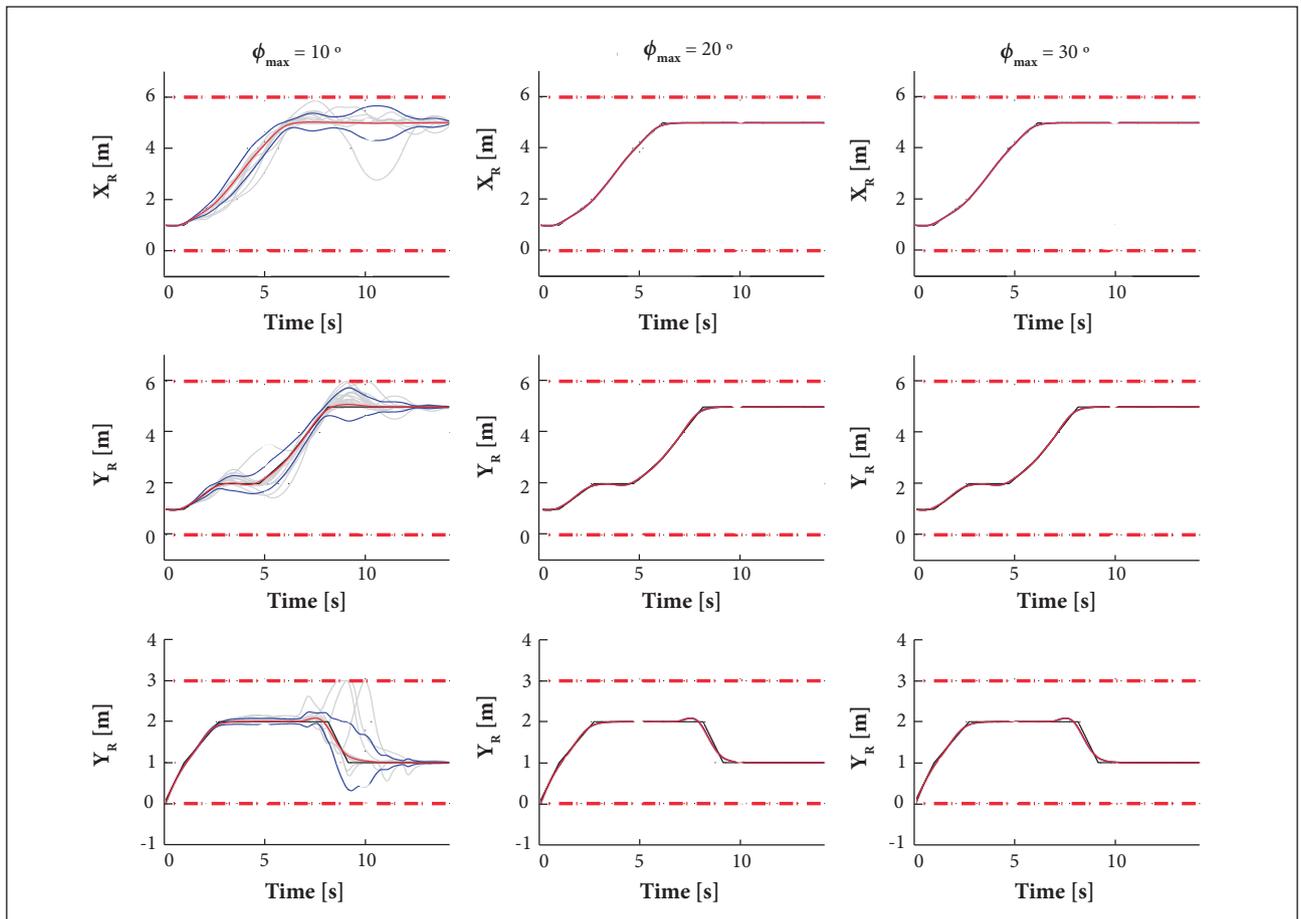
Figure 6. Performance of position control varying the maximum inclination constraint. The solid gray lines are MC realizations; the dashed red lines are the position constraints; the solid black lines are the position command; the solid red lines are the sample means; the solid blue lines are the sample means plus/minus the standard deviation.
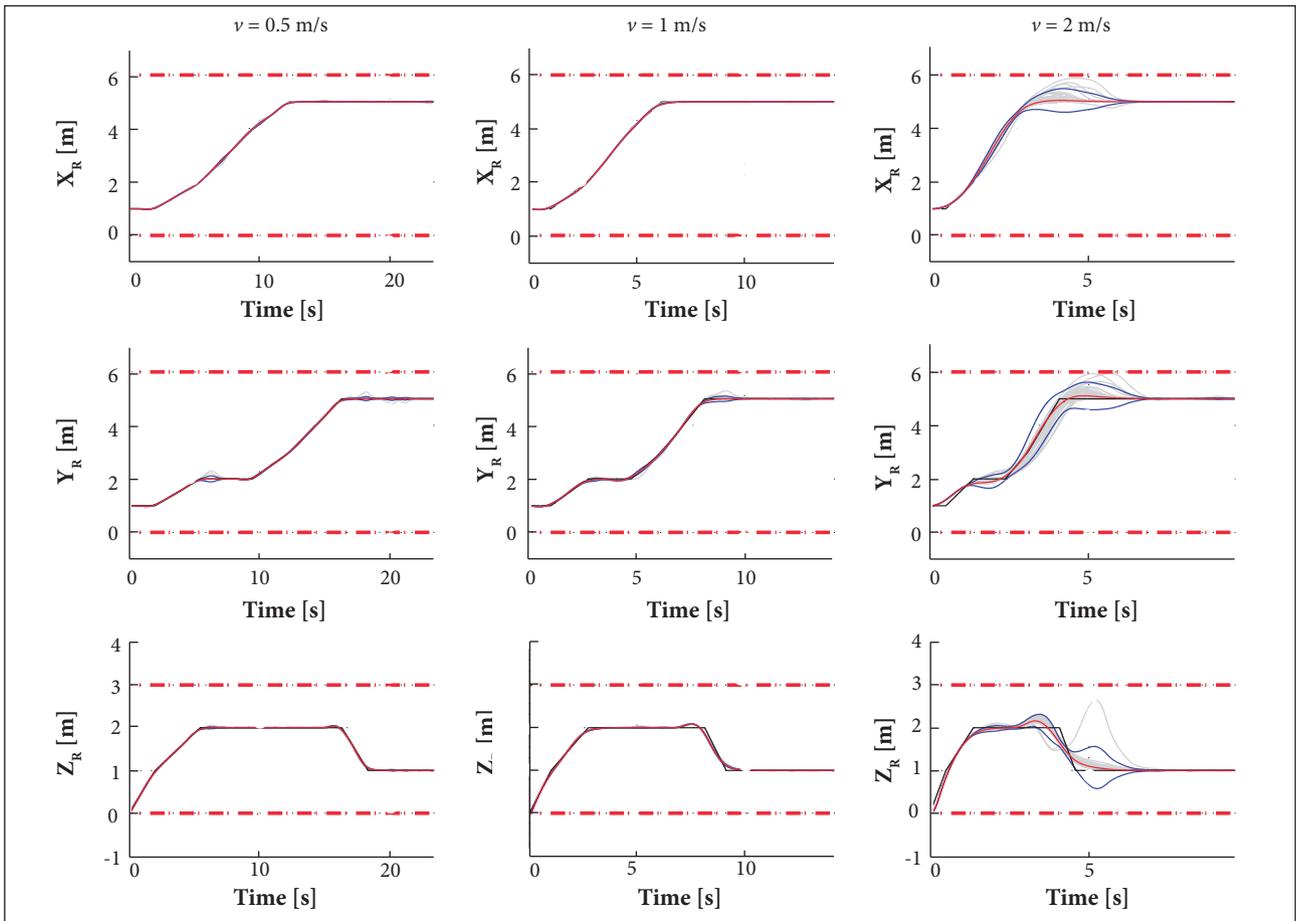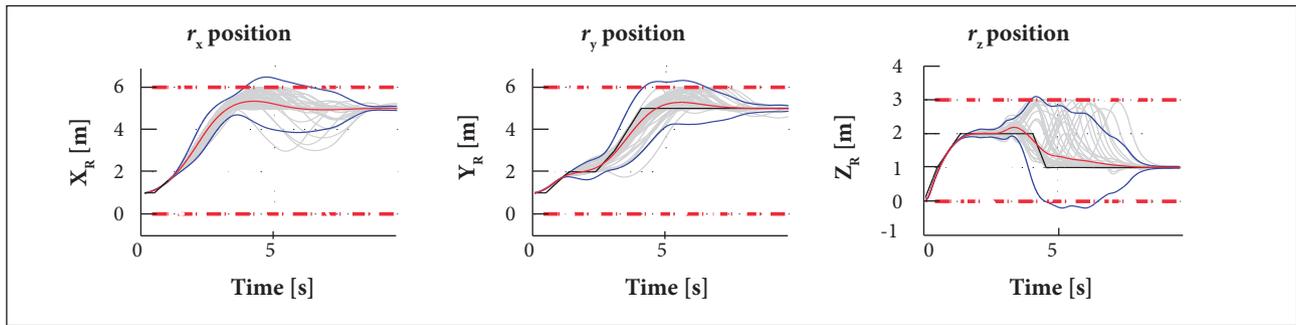
Figure 7. Performance of position control varying the speed command. The solid gray lines are MC realizations; the dashed red lines are the position constraints; the solid black lines are the position command; the solid red lines are the sample means; the solid blue lines are the sample means plus/minus the standard deviation.
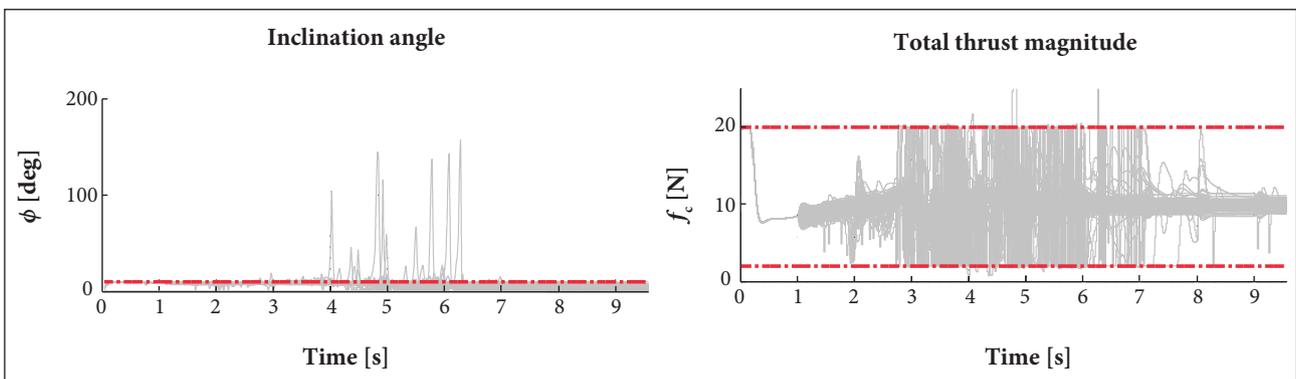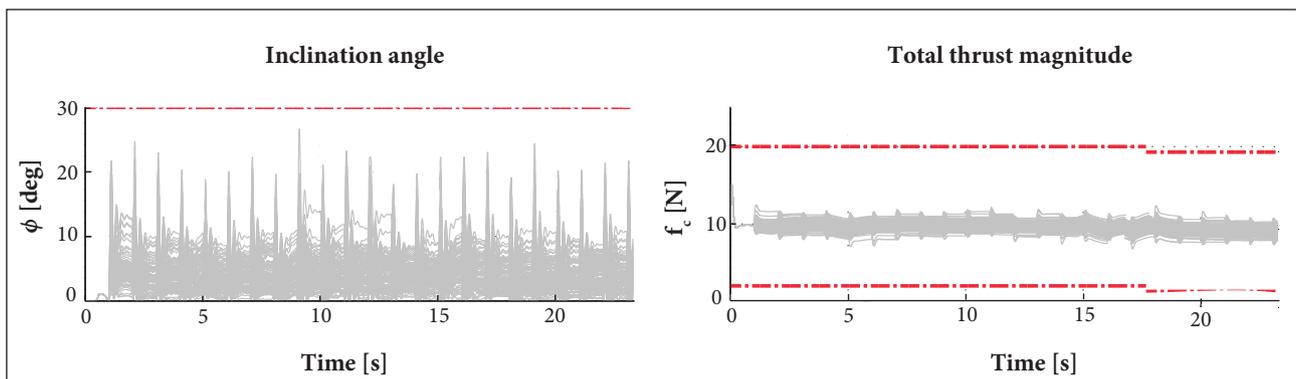

Figure 8. Inclination angle ϕ and thrust magnitude f_c in the worst case (ϕ_{max} = 10° and v = 2.0 m/s). The gray lines are the MC realizations and the dashed red lines are the constraints.

On the other hand, the best performance observed in Table 1 occurs with ϕ_{max} = 30° and v = 0.5 m/s. This scenario combines high maneuverability (due to a large ϕ_{max}) with a small speed command. In this case, the vehicle does not suffer a significant influence of the disturbance forces and respects the constraint on both the inclination angle ϕ and thrust magnitude f_c. For details, Fig. 10 shows ϕ and f_c, while Fig. 11 shows the corresponding position control performance.

Figure 9. Performance of position control in the worst case (ϕ_{max} = 10° and v = 2.0 m/s) . The solid gray lines are MC realizations; the dashed red lines are the position constraints; the solid black line are the position commands; the solid red lines are the sample means; the solid blue lines are the sample means plus/minus the standard deviations.

Figure 10. Inclination angle ϕ and thrust magnitude f_c in the best case (ϕ_{max} = 30° and v = 0.5 m/s). The gray lines are the MC realizations; the dashed red lines are the constraints.
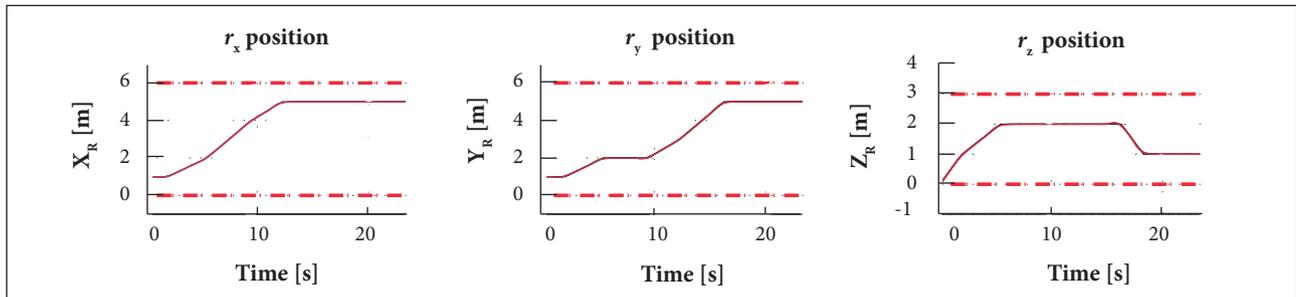
Figure 11. Performance of position control in the best case (ϕ_{max} = 30° and v = 0.5 m/s). The solid gray lines are MC realizations; the dashed red lines are the position constraints; the solid black lines are the position commands; the solid red lines are the sample means; the solid blue lines are the sample means plus/minus the standard deviations.

CONCLUSIONS

This study tackled the problem of controlling the position of a MAV subjected to constraints on the inclination of the rotor plane, on the total thrust magnitude, and on the position. The problem was solved using a conventional linear-quadratic state-space MPC formulation, which became possible thanks to the replacement of the original conic constraint space on the total thrust vector by an inscribed pyramid.

The method was evaluated by computational simulations considering that the vehicle was subjected to a Gaussian disturbance force. The proposed method showed able to control the vehicle's position, even under disturbance

forces, while respecting the position and control constraints. However, if a large speed command is considered, it is necessary to relax the maximum inclination constraint in order to have sufficient lateral control force to overcome the disturbance forces.

The Δ-input MPC formulation used in this study appears as a good option for controlling the position of an MAV due to its ability of handling input and state constraints and, if suitably adjusted, it presents smooth responses to position commands. As the MPC has to solve an optimization problem at each update time, a drawback of this strategy is its high computational burden compared with traditional controllers such as the classic PID.

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AUTHOR'S CONTRIBUTION

Prado IAA and Santos DA conceived the idea and co-wrote the text. Prado IAA worked on the simulations results.

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